

ANNEXURE

**Government of India
Ministry of Housing & Urban Poverty Alleviation
(National Buildings Organisation)**

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SCHEDULES FOR SLUM/SOCIO-ECONOMIC SURVEY IN CITIES & TOWNS

Part A: General Information – City/Town

Part B: Slum Profile of City/Town

Part C: Particulars of Survey Operations

Annexure-I: Detailed Slum Survey

Annexure-II: Detailed Household Survey (General)

Annexure-III: Detailed Livelihoods Survey

Nodal Agency at GoI Level: National Buildings Organisation

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(For all clarifications & submission of data please contact the above address)

PART- A

I. GENERAL INFORMATION – CITY/TOWN

1(a) State Code	<input type="text"/>	1(b) Name of State	<input type="text"/>
2(a) District Code	<input type="text"/>	2(b) Name of District	<input type="text"/>
3(a) City/Town Code	<input type="text"/>	3(b) Name of City/Town	<input type="text"/>
4(a) City/Town Popn. (2001 Census)	<input type="text"/>	4(b) City/Town No. of Households (2001 Census)	<input type="text"/>

PART-B

II. CITY/TOWN SLUM PROFILE

5. Summary of Basic Information on Slums - 2009

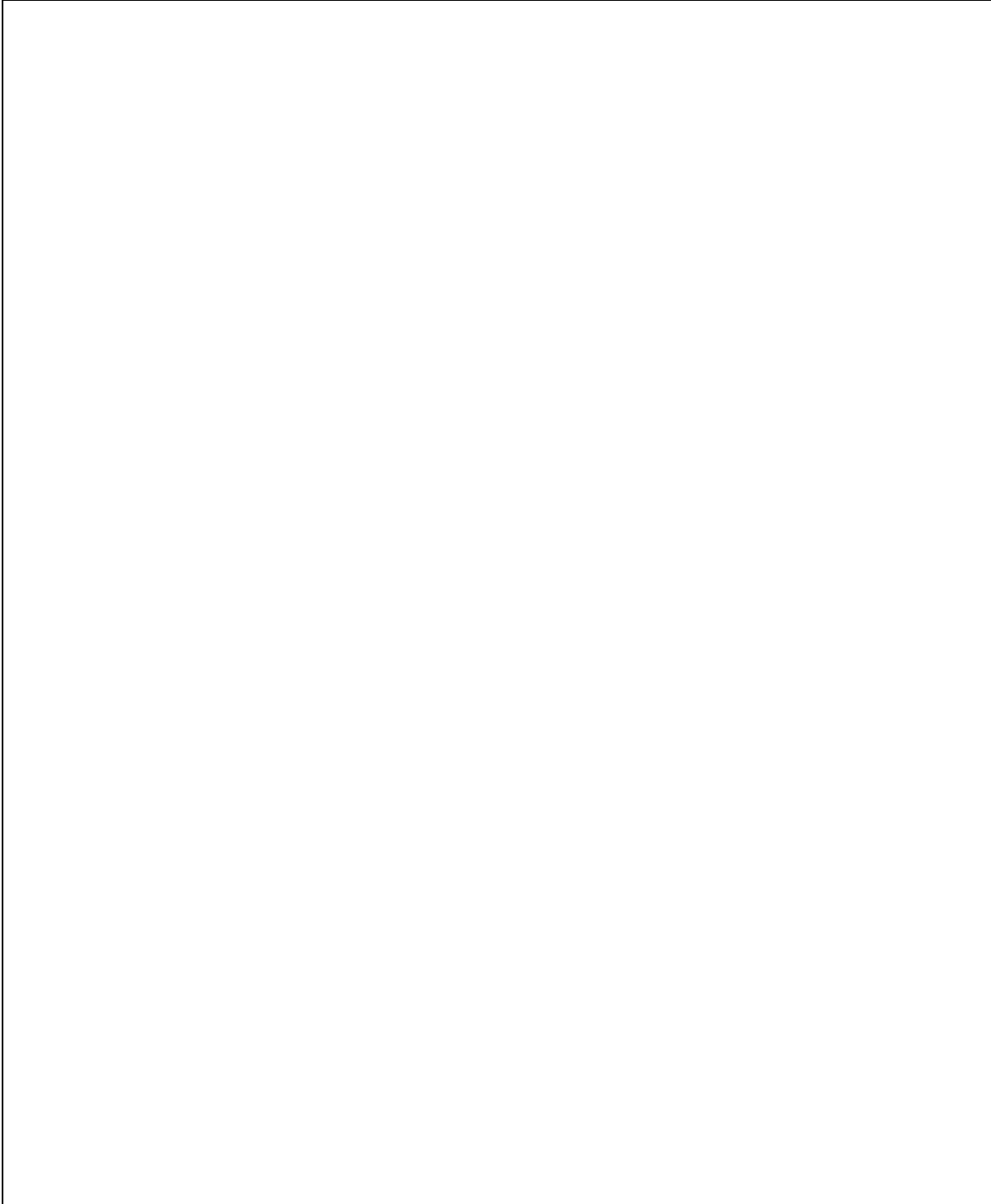
Notified Slums							
Sl. No.	Name of Slum	Ownership of Land where Slum is located*	Area in Sq. Kms	Slum Population	No. of Slum Households	BPL Population	No. of BPL Households
Total	-	-					
Non-Notified Slums							
Sl. No.	Name of Slum	Ownership of Land where Slum is located*	Area in Sq. Kms	Slum Population	No. of Slum Households	BPL Population	No. of BPL Households
Total	-	-					
Grand Total	-	-					

* Public: Local Body -01, State Government - 02, Central Government – 03; Private – 04, Other - 05

6. Spatial/Sketch Map of the City/Town showing Location of Slums

City/Town Map showing Location of Slums

(Notified Slums shown in Green, Non-notified Slums shown in Red)



PART-C

III. PARTICULARS OF SURVEY OPERATION

Investigator/Surveyor

Supervisor

7. Name

8. Date(s) of

DD

MM

YY

DD

MM

YY

(a) Survey

(b) Receipt of Questionnaire

(c) Scrutiny

(d) Receipt by Nodal Cell in Urban Local Body

9. Signature

10. Remarks by Investigator/Surveyor:

11. Comments by the Supervisor

DETAILED SLUM SURVEY
(Enclose Map of the Slum being surveyed)

I. BASIC INFORMATION ON SLUM

1. Name of Slum

1a. Slum Code

[A three digit unique code to be generated by the ULB]

2. Location – Ward No/Name

3. Age of Slum in Years

4. Area of Slum (Sq. metres)

5. Whether located in Core City/Town or Fringe area

Core City/Town - 01, Fringe Area -02

6. Type of Area surrounding Slum

Residential - 01, Industrial - 02, Commercial – 03, Institutional – 04, Other – 49

7. Physical Location of Slum

Along Nallah (Major Stormwater Drain) – 01, Along Other Drains - 02, Along Railway Line - 03, Along Major Transport Alignment – 04, Along River / Water Body Bank – 05, On River/ Water Body Bed – 06, Others (Hazardous or Objectionable) – 07, Others (Non- Hazardous/Non-objectionable) – 08]

8. Is the Slum Notified/Declared? Yes – 01, No-02

9. If Yes (01) in 8, state Year of Notification

II. LAND STATUS

10. Ownership of Land where Slum is located

Public: Local Body -01, State Government - 02, Railways - 03, Defense - 04, Airport - 05, Government of India other than Railways, Defense or Airport – 06; Private – 07, Others – 49, Not known – 99.

11. Please specify Ownership of Land

(To whom land belongs)

III. DEMOGRAPHIC PROFILE

12. Population & Health

	SCs	STs	OBCs	Others	Total	Minorities (out of total)
Total Population in Slum						
BPL Population in Slum						
No. of Households in Slum						
No. of BPL Households						
No. of Women-headed Households						
No of Persons older than 65 Years						
No of Child Labourers						
No. of Physically Challenged Persons						
No. of Mentally Challenged Persons						
No. of Persons with HIV-AIDs						
No. of Persons with Tuberculosis						
No. of Persons with Respiratory Diseases including Asthma						
No. of Persons with Other Chronic Diseases						

13. Literacy - Education

	SCs	STs	OBCs	Others	Total	Minorities (out of total)
Total No of Illiterate Persons						
No. of Male Illiterate						
No. of Female Illiterate						
No. of BPL Illiterate Persons						
No. of Male BPL Illiterate						
No. of Female BPL Illiterate						
School Dropouts – Male (No.)						
School Dropouts – Female						

(No.)						
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IV. HOUSING STATUS

14. Dwelling Units Structure

	Pucca (No.)	Semi-Pucca (No)	Katcha (No.)	Total (No.)
Dwelling Units				
With Electricity				

15. Land Tenure Status

	With Patta	Possession Certificate/ Occupancy Right	Encroached - Private Land	Encroached - Public Land	On Rent	Other	Total
Dwelling Units Nos.							

V. ECONOMIC STATUS OF HOUSEHOLDS

16. Economic Status (Monthly income of HHs)

	Monthly Income					
	Less than Rs. 500	Rs.500 – Rs.1000	Rs.1000– Rs.1500	Rs.1500 – Rs 2000	Rs.2000- Rs.3000	More than Rs.3000
No. of Households						

VI. OCCUPATION STATUS OF HOUSEHOLDS

17. Occupational Status

	Self-employed	Salaried	Regular wage	Casual labour	Others
No. of Households					

VII. ACCESS TO PHYSICAL INFRASTRUCTURE

18a. Source of Drinking Water (No. of HHs covered)

Source →	Individual tap	Public tap	Tubewell/ Borewell/ Handpump	Open well	Tank/ pond	River/ Canal/ Lake/ Spring	Water Tanker	Others
No. of Households using								
Existing Situation	No. of individual taps	No. of public taps	No. of tube wells / bore wells/ hand pumps	Duration of water supply (less than 1 hour-01 daily, 1-2 hrs daily-02, more than 2 hrs daily - 03, once a week - 04, twice a week – 05, not regular - 06, no supply - 99)				

18b. Connectivity to City-wide Water Supply System

Is the slum connected to city-wide water supply trunk systems:

Fully connected 01, Partially connected 02, Not connected 03

19a. Drainage & Sewerage Facility

	Stormwater Drainage	Underground Drainage/Sewer Lines	Digester	Not Connected to Sewer or Digester
No. of HHs having access to				

19b. Connectivity to City-wide Storm-water Drainage System

Is the slum connected to city-wide storm-water drainage systems?

Fully connected 01, Partially connected 02, Not connected 03

19c. Connectivity to City-wide Sewerage System

Is the slum connected to city-wide trunk sewerage systems?

Fully connected 01, Partially connected 02, Not connected 03

19d. Whether the Slum is prone to flooding due to rains:

Not prone - 01, Upto 15 days – 02, 15-30 Days – 03, More than a Month - 04

20. Sanitation

Latrine Facility used by the households										
Public/Community Latrine			Shared Latrine			Own Latrine			Open Defecation	
Septic tank/ flush	Service latrine	Pit	Septic tank/ flush	Service latrine	Pit	Septic tank/ Flush	Service latrine	Pit		

No. of H.Hs										
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21. Solid Waste Management

21a. Frequency of Garbage Disposal

Daily – 01, Once in 2 days - 02, Once in a week - 03, Once in 15 days - 04, No collection - 99

21b. Arrangement for Garbage Disposal

Municipal staff – 01, Municipal Contractor – 02, Residents themselves – 03, Others – 04, No arrangement - 99

21c. Frequency of Clearance of Open Drains

Daily – 01, Once in 2 days - 02, Once in a week - 03, Once in 15 days - 04, No clearance – 99

22. Approach Road/Lane/Constructed Path to the Slum

Motorable pucca -01, Motorable katcha -02, Non-motorable pucca -03, Non-motorable kaccha-04

23. Distance from the nearest Motorable Road

Less than 0.5 kms -01, 0.5 to 1.0 km.-02, 1.0 km to 2.0 km. -03, 2.0 km to 5.0 km. – 04, more than 5.0 km-05

24. Internal Road

Motorable pucca-01, Motorable kutchi-02, Non-motorable pucca-03, Non-motorable katcha-04

25. Whether Street light facility is available in the Slum

(Yes- 01, No- 02)

VIII. Education Facilities

Please use the following codes for the information being collected in 26 to 30
 Within the slum area - 01, Outside the slum area: with distance Less than 0.5 kms - 02,
 0.5 to 1.0 km.- 03, 1.0 km to 2.0 km. - 04, 2.0 km to 5.0 km. -05, more than 5.0 km-06

26. Pre-primary School:

a. Anganwadi under ICDS

If 01, then number

b. Municipal pre-school

If 01, then number

c. Private pre-school

If 01, then number

27. Primary School:

a. Municipal

If 01, then number

b. State Government

If 01, then number

c. Private

If 01, then number

28. High School

a. Municipal

If 01, then number

b. State Government

If 01, then distance (code)

c. Private

If 01, then number

29. Adult Education Centre

If 01, then number

30. Non-formal Education Centre

If 01, then number

IX. Health Facilities

31. Existence of Health Facilities:

Within the slum area - 01,

Outside the slum area: with distance Less than 0.5 kms -02, 0.5 to 1.0 km.- 03, 1.0

km to 2.0 km. - 04, 2.0 km to 5.0 km. -05, more than 5.0 km-06

Urban Health Post

Primary Health Centre

Government Hospital

Maternity Centre

Private Clinic

Registered Medical Practitioner (RMP)

Ayurvedic Doctor/Vaidya

X. Social Development/Welfare

32. Availability of Facilities within Slum:

Specify Number: 0, 01, 02, 03

Community Hall

Livelihood/Production Centre

Vocational training/Training-cum-production Centre

Street Children Rehabilitation Centre

Night Shelter

Old Age Home

33a. Old Age Pensions (No. of Holders)

33b. Widow Pensions (No. of Holders)

33c. Disabled Pensions (No. of Holders)

33d. General Insurance (No. covered)

33e. Health Insurance (No. covered)

34. Self Help Groups/DWCUA Groups in Slum

Specify Number: 0, 01, 02, 03

35. Thrift and Credit Societies in Slum

Specify Number: 0, 01, 02, 03

36a. Slum-dwellers Association [Yes- 01, No- 02]

36b. Youth Associations

Specify Number: 0, 01, 02, 03

36c. Women's Associations/ Mahila Samithis

Specify Number: 0, 01, 02, 03

XI. Additional Infrastructure Requirement (To be filled up by Municipal Engineer/Executive Officer)

Item	Existing	Additional Requirement	Estimated Cost
Water Supply			
Pipelines (Rmts)			
Individual Taps (Nos.)			
Borewells (Nos.)			
Connectivity to Trunk Lines (Rmts)			
Drainage/Sewerage			
Stormwater Drainage (Rmts.)			
Connectivity to Main Drains (Rmts.)			
Sewer Lines (Rmts)			
Connectivity to Trunk Sewers (Rmts)			
Roads			
Internal Roads – CC (Rmts)			
Internal Roads – BT (Rmts.)			
Internal Roads – Others (Rmts)			
Approach Roads – CC (Rmts)			
Approach Roads – Others (Rmts)			
Street Lighting			
Street Light Poles (Nos.)			
Street Lights (Nos)			
Sanitation			
Individual Toilets (Nos)			
Community Toilets (Nos)			
Seats in Community Toilets (Nos.)			
Dumper Bins (Nos)			
Community Facilities			
Community Halls (No of Rooms)			
Livelihood / Production Centres (No of Rooms)			
Anganwadis /Pre-schools (No of Rooms)			
Primary Schools (No of Class Rooms)			
Health Centres (No. of Rooms)			
Others (Specify)			
